

## 5.5 Complex Eigenvalues and Eigenvectors

**Example 1 a** Find the characteristic polynomial for the matrix  $A = \begin{pmatrix} 1 & -5 \\ 2 & 7 \end{pmatrix}$ , and the eigenvalues.

**Example 1 b** Using one eigenvalue set up the system of equations  $(A - \lambda_1 I_2) \mathbf{x}_1 = \mathbf{0}$ , and solve for  $\mathbf{x}_1$ . Note, the two equations in the system *both* give relations for the unique eigenvector  $\mathbf{x}_1$ . You only need to use one of them to actually find the eigenvector.

**Example 1 c** Find the second eigenvector for  $\lambda_2$ .

**Example 2** Find the eigenvalues and eigenvectors for the matrix  $\begin{pmatrix} 4 & -5 \\ 2 & -2 \end{pmatrix}$ .

Matrices with complex eigenvalues and eigenvectors are an important transformation. Find the eigenvalues of the following matrix transformations:

a)  $\begin{pmatrix} k & 0 \\ 0 & h \end{pmatrix}$

b)  $\begin{pmatrix} k & 1 \\ 0 & h \end{pmatrix}$

c)  $\begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$

d)  $\begin{pmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{pmatrix}$

**Example 3** Show that a matrix in the form  $C = \begin{pmatrix} a & -b \\ b & a \end{pmatrix}$  has eigenvalues  $\lambda_{1,2} = a \pm bi$ , and is a composition of a scaling matrix and a rotation matrix, where  $r = \sqrt{a^2 + b^2}$  is the scaling factor, and  $\phi$  is the rotation angle.

We can now find the scaling and rotation “hidden” in a matrix  $A$  that has complex eigenvalues and eigenvectors.

**Example 4** Choose the eigenvalue with a negative imaginary part (i.e.,  $a - bi$ ) and the associated eigenvector from example 2, and let  $P = [\operatorname{Re} \mathbf{v} \quad \operatorname{Im} \mathbf{v}]$ .

a) Find the matrix  $C = P^{-1} A P$  and compare it with the matrix in example 3.

b) Since the  $|\lambda| \neq 1$  matrix  $C$  is not a pure rotation. Divide each element in  $C$  by  $|\lambda|$  and multiply on the outside by  $|\lambda|$ .

c) Identify the rotation and the scaling produced by matrix  $A$ .

(Example 5.5.3 Manipulate)

### Theorem 9

Let  $A$  be a real  $2 \times 2$  matrix with a complex eigenvalue  $\lambda = a - bi$ , ( $b \neq 0$ ), and an associated eigenvector  $\mathbf{v}$  in  $\mathbb{C}^2$ . Then

$$A = P C P^{-1}, \quad \text{where } P = [\operatorname{Re} \mathbf{v} \quad \operatorname{Im} \mathbf{v}] \quad \text{and} \quad C = \begin{pmatrix} a & -b \\ b & a \end{pmatrix}$$

**Example 5** Find the scaling and rotation for the matrix  $A = \begin{pmatrix} 3 & -5 \\ 2 & 5 \end{pmatrix}$ .